

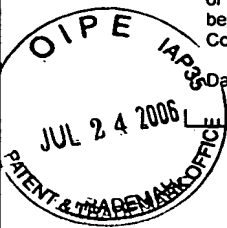
I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as First Class Mail, in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

Dated: July 19, 2006

Signature: 

(Jeffrey S. Dickey)

GP 302  
Sfu



Docket No.: SONY 3.0-020  
(PATENT)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of:  
*Ludtke et al.*

Application No.: 10/014,112

Group Art Unit: 3621

Filed: December 11, 2001

Examiner: S. A.  
Cangialosi

For: SYSTEM AND METHOD FOR CONDUCTING  
SECURE TRANSACTION OVER A  
NETWORK

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT**


Dear Sir:

It is respectfully requested that the references listed on the enclosed form be made of record and considered with respect to the above-referenced U.S. patent application. Submission of the present Information Disclosure Statement should not be taken as an admission that the cited references are legally available prior art or that the same are pertinent or material.

In the event that any fee is due in connection with the present Information Disclosure Statement, the Commissioner is hereby authorized to charge the same to our Deposit Account No. 12-1095.

Dated: July 19, 2006

Respectfully submitted,

By   
Jeffrey S. Dickey  
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Dated: July 19, 2006

Signature: \_\_\_\_\_

(Jeffrey S. Dickey)

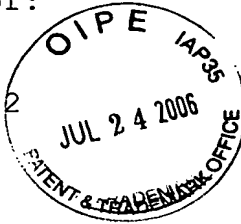
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**REQUEST FOR CONSIDERATION OF INFORMATION**  
**UNDER 37 CFR § 1.97 (C)**

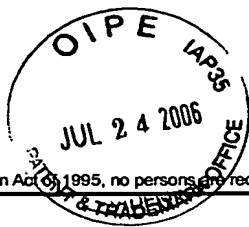
Dear Sir:

It is respectfully requested that the references cited in the enclosed form be considered pursuant to 37 C.F.R. 1.97(c). Please charge deposit account No. 12-1095 in the amount of \$180.00 pursuant to 37 C.F.R. § 1.17(p). In the event that any additional fee is due in connection with the present request, the same should be charged to our deposit account No. 12-1095.

Dated: July 19, 2006

Respectfully submitted,

By \_\_\_\_\_  
Jeffrey S. Dickey  
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PTO/SB/08a/b (07-05)

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|---|---|----|---|--------------------------|------------------------|
| <b>Substitute for form 1449A/B/PTO</b><br><br><b>INFORMATION DISCLOSURE<br/>STATEMENT BY APPLICANT</b><br><br>(Use as many sheets as necessary) |   |    |   | <b>Complete if Known</b> |                        |
|   |   |    |   | Application Number       | 10/014,112-Conf. #3954 |
|   |   |    |   | Filing Date              | December 11, 2001      |
|   |   |    |   | First Named Inventor     | Harold A. Ludtke       |
|   |   |    |   | Art Unit                 | 3621                   |
|   |   |    |   | Examiner Name            | S. A. Cangialosi       |
| Sheet   | 1 | of | 1 | Attorney Docket Number   | SONY 3.0-020           |

| U.S. PATENT DOCUMENTS |                          |  |                                |  |   |
|-----------------------|--------------------------|--|--------------------------------|--|---|
| Examiner<br>Initials* | Cite<br>No. <sup>1</sup> | Document Number                          | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines, Where<br>Relevant Passages or Relevant<br>Figures Appear |
|                       |                          | Number-Kind Code <sup>2</sup> (if known) |                                |  |   |
|                       | AA*                      | US-5,917,913                             | 06-29-1999                     | Wang   |   |
|                       | AB*                      | US-20010045458                           | 11-29-2001                     | POLANSKY   |   |

| FOREIGN PATENT DOCUMENTS |                       |   |                  |   |   |                |
|--------------------------|-----------------------|---|------------------|---|---|----------------|
| Examiner Initials*       | Cite No. <sup>1</sup> | Foreign Patent Document   | Publication Date | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear | T <sup>6</sup> |
|                          |                       | Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known) | MM-DD-YYYY       |   |   |                |
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| NON PATENT LITERATURE DOCUMENTS |                          |   |                |
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| Examiner<br>Initials*           | Cite<br>No. <sup>1</sup> | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T <sup>2</sup> |
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